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## SFC Suspends Choi Chi Ming

5 Dec 2007

The SFC has suspended Mr Choi Chi Ming for eight months from 5 December 2007 to 4 August 2008 for making unsolicited calls and breaching the secrecy provisions of the Securities and Futures Ordinance (Note 1).

The disciplinary action follows an SFC investigation which found that during July and August 2004, Choi cold called a person with a view to inducing the person to open an account to trade leveraged foreign exchange contracts. The SFC also found that Choi disclosed the contents of his SFC interview to two colleagues (Note 2).

Furthermore, the SFC found that Choi had failed to supervise his subordinate properly to ensure that the subordinate provided accurate information in account opening documents (Note 3).

The SFC's decision took into account Choi's co-operation in not disputing the disciplinary proceedings.

Ends

Notes:

1. Choi is licensed under the SFO to carry on Type 2 (dealing in futures contracts) and Type 3 (leveraged foreign exchange trading) regulated activities, accredited to Hantec International Limited.
2. Choi was convicted of making unsolicited calls and disclosing to others the details of an SFC interview. Please see SFC [press release](#) dated 9 June 2006 for details.
3. Please see SFC [press release](#) dated 5 February 2007 for its disciplinary action against the subordinate.

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