

## Enforcement Actions

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22 June 2006

### **SFC Prosecutes Tiger Tech Holdings Limited for Failing to Keep and Update the Registers of Interests in Shares**

The SFC has successfully prosecuted Tiger Tech Holdings Limited for failing to keep the register of interests in shares and the register of directors' and chief executives' interests in shares from 24 April 2003 (Note 1) to 22 February 2006, in contravention of sections 336(1) and 352(2) of the Securities and Futures Ordinance, and for failing to record in the register of directors' and chief executives' interests in shares, the grants of share options to two directors and the subsequent exercise of the share options, contrary to sections 352(3) and 352(4) of the SFO.

Tiger Tech today pleaded guilty to four summonses before Mr Timothy Casewell, a Magistrate at Eastern Magistracy. Tiger Tech was fined a total of \$11,800 and ordered to pay investigation costs of \$16,539 to the SFC.

Ends

Notes to Editor:

1. Tiger Tech was listed on the GEM Board on 16 April 2003. The company should have kept proper registers on or before 23 April 2003 under the law.

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