

Enforcement News

To save a copy for future reference, right click [here](#) and select "Save Target As..."

24 January 2006

SFC Prosecutes Chau Sik Ki for Making Unsolicited Calls

The SFC has successfully prosecuted Mr Chau Sik Ki for making unsolicited calls.

An SFC investigation found that in April 2003, Chau, who was a licensed representative of Tanrich Futures Limited at the time, had visited a person who was not a client of Tanrich with a view to inducing him to enter into an agreement for trading in futures contracts, in contravention of section 174 of the Securities and Futures Ordinance.

Chau was convicted after trial on one summons today before Mr Josiah Lam Wai Kuen, a Magistrate at Eastern Magistracy. Chau was fined \$6,000 and ordered to pay the SFC investigation costs of \$4,716.

Ends

Page last updated: 25 January 2006