

## Enforcement Actions

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29 September 2005

### **SFC Prosecutes Chow Wai Ho for Making Unsolicited Calls**

The SFC has successfully prosecuted Mr Chow Wai Ho for making unsolicited calls.

An SFC investigation found that in July 2003, Chow, who at that time was a representative of Tanrich Futures Limited, had made unsolicited calls on various occasions inducing others to enter into agreements for trading in futures contracts, in contravention of section 174 of the Securities and Futures Ordinance.

Chow today pleaded guilty to three summonses before Mr Ian Candy, a Magistrate at Eastern Magistracy. Chow was fined \$2,500 for each summons and ordered to pay investigation costs of \$10,000 to the SFC.

Ends

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